NEXT GENERATION INVESTMENT FUNDS SAAS PLATFORM

FUNDGUARD

WWW.FundGuard.COM

FUND SERVICES, ENTERPRISE CLOUD AND AI EXPERTS
Industry executives are investors and on advisory board

LIOR YOGEV
CEO, CO-FOUNDER

Head of North America, Multifonds (€235M Temenos acq.)
TMT investment banking, Goldman Sachs
Software development at Radware and Unit 8200 (Lieutenant)
MBA, Columbia Business School
BSc, Tel Aviv University, Computer Science and Management

PETER MULDOON
SALES DIRECTOR

30+ years of funds industry leadership and product experience
Cofounder, product and strategy leadership, Linedata/GIS/PWC
Product and business development, Multifonds
Investments operations, JP Morgan and Merrill Lynch
BS, Accounting, University of Scranton

YANIV ZECHARYA
CTO, CO-FOUNDER

Head of Salesforce IL R&D center
Co-founder Bluetail, acquired by Salesforce
Major, Unit 8200, AI and intelligence production systems
MSc* and BSc, Tel Aviv University,
Computer Engineering/Data Science

REESE LUCAS
PRODUCT DIRECTOR

15 years of investment funds technology and ops leadership
Manager, product, customer development and
implementations at Multifonds
Senior fund accountant at IBT
BA, U.C. Davis, Economics

WILLIAM YUNG
PRODUCT DIRECTOR

25+ years of funds industry experience
Product manager and prof. services lead, Multifonds and Orion
Investments operations leadership, BNY Mellon, New York Life,
JP Morgan
Patents on regulation compliance and cash flows monitoring
BBA, Accounting and Taxation, Pace University

URI KATZ
VP R&D, CO-FOUNDER

**Led software development teams focused on financial
products and data, machine learning and cloud systems**
Captain, Unit 8200
MBA, Interdisciplinary Center
BSc, Hebrew University, Computer Science and Math

HEIDI MILLER
CEO Custody and
Securities Services,
JPMorgan

JAY MANDELBAUM
EVP Strategy, Operating
Committee, JPMorgan

DAVID KRELL
CEO, International
Securities Exchange

AVI NACHMANY
Founder and Director
of Research, Strategic
Insight

RENNAISSANCE OF FUND SERVICES TECHNOLOGY
DIGITAL PLATFORM FOR ALL INVESTMENT FUNDS, ANYWHERE, END TO END
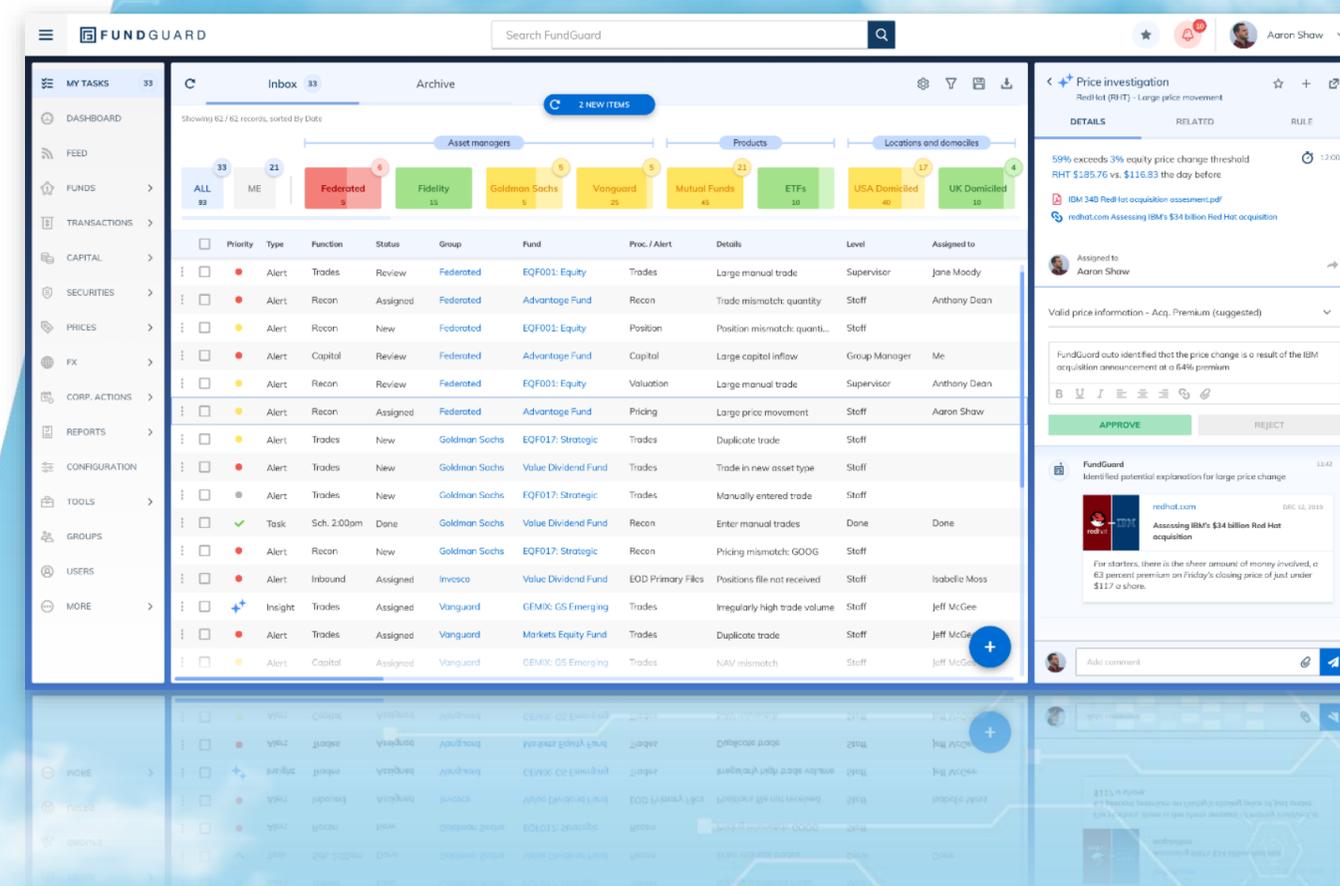
Cloud Native / SaaS	Digital from the core	Artificial Intelligence

✓ **Real time and knowledge date "as-of"**

POSITIONS, VALUATION, LEDGERS, CASHFLOW

✓ **Multi book with single fund/trade**

ABOR/IBOR, GAAP/TAX, CURRENCIES ETC.

✓ **Anomaly detection and recommendations**

FINANCIAL ANOMALIES, OPS KPI'S, AUTO-RESOLVE EXCEPTIONS

✓ **Workflows and collaboration**

OVERSIGHT, ESCALATION, CONTEXTUAL CHAT

✓ **Rapid onboarding**

SMART FILE MAPPER "ETL"

✓ **All-in-one platform with Mobile experience**

REPORT STUDIO, RECON, OPS AND MANAGEMENT DASHBOARDS

✓ **Cloud efficiency and time to market**

LOW OPERATING COST, NO SETUP, CONTINUOUS DEPLOYMENT

LEADER IN ARTIFICIAL INTELLIGENCE IN FUND ADMININSTRATION

Illustrative examples

Unstructured financial anomaly detection

- Identify data input mistakes, market data issues, potential fraud:
  - Trade in Mexican bond for first time
  - Number of trades is materially higher than normal
  - Trade size materially higher than past range
  - NAV movement doesn't move with benchmark funds NAVs

Automatic exception resolution with NLP

- Stock moves up by 20% due to acquisition announcement
- High number of trades – typical beginning of the month activity
- Stale prices – a typical daily price file has not yet arrived

Operational KPI recommendations

- Fund's NAV production time changes alerts
- Workflow recommendations: daily, structural
- Operations utilization monitoring

NAV RESILIENCE. AN ALL IN ONE, FULL SOLUTION

Eliminate need for separate complementary applications and related integrations (reports, recon, workflow etc.)

Reconciliation
Any entity, no setup, many to many

NAV Resiliency
Oversight and Contingency

FUNDGUARD

Report Studio
Build, Customize, Pack, Schedule, Distribute

Workflow Manager
Tasks, Exceptions, Insights, Escalation, Monitor

Smart File Mapper "ETL"
Includes Market Data Adaptors

Exception Manager
By percent, amount, NAV impact

Dashboards
Multiple. Out of the box and customizable

AI & ML
Anomaly Detect / Resolve, Recomm., Benchmarks

FRONT TO BACK DIGITAL PLATFORM FOR ANY INVESTMENT PORTFOLIO GLOBALLY

Functional Packages

ETF Basket Manager | Bonds Interest and Amortization | Collateral Management | Settlement Manager | Risk Management | Regulatory Reports | Tax Reports | Robo Investment Advisor | Investor Manager

NAV Resiliency | Primary NAV | Performance Measurement and Attribution | Compliance | Financial Reporting | Cash and Securities Manager | Order Management | Reference Data Manager

Package Utilities

Reconciliation | Report Center | ETL Smart File Mapper | Exception Manager | Workflow Manager | Dashboards | AI & ML | Feed | Testing Center

In / Out APIs
Marketplace piping

Unlimited number of views

ABOR | IBOR | Tax | Admin | Currency | Performance | Cash | Custody

FUNDS DATA - SINGLE SOURCE OF TRUTH

Fund setup | Investor setup | Manager setup | Market reference data | Transactions of all assets and types - trades, capital, cash etc.